Federal Communications Commission 445 12<sup>th</sup> Street, SW Washington, DC 20554

News Media Information: 202-418-0500 Internet: www.fcc.gov TTY: 888-835-5322

> DA 18-679 June 29, 2018

# **AUCTION OF FM TRANSLATOR CONSTRUCTION PERMITS CLOSES**

#### WINNING BIDDERS ANNOUNCED FOR AUCTION 83

Down Payments Due July 16, 2018
Final Payments Due July 30, 2018
FCC Form 349 Filing Window July 9 through August 8, 2018

### I. INTRODUCTION

- 1. On June 27, 2018, bidding concluded in an auction of FM translator construction permits. This auction, which was designated Auction 83, raised (in net bids) a total of \$574,771.00, with 20 bidders winning a total of 30 construction permits.
- 2. This Public Notice provides detailed information concerning winning bidders, down payments, final payments, refunds, long-form application (FCC Form 349) filing requirements, and licensing matters.

# II. AUCTION RESULTS

- 3. Attachment A to this Public Notice lists each winning bidder and both its winning bid amount and net winning bid amount. The columns are headed with titles briefly describing the information provided. The table is sorted by permit in the first column. For each permit, Attachment A provides the following information under each respective header:
  - Permit
  - Permit Description
  - Bid Round Number
  - Bidder Name
  - FRN (bidder's FCC Registration Number)
  - Winning Bid Amount
  - Net Winning Bid Amount
- 4. Attachment B to this Public Notice lists for each qualified bidder in Auction 83, the upfront payment and post-auction payments or refunds due. Each column in the table is headed with a title briefly identifying the information provided. Specifically, for each bidder, the columns in Attachment B provide the following information under each respective header, sorted by bidder name:

<sup>&</sup>lt;sup>1</sup> The amounts listed in Attachment B are based on upfront payments and net winning bid amounts.

- Bidder Name
- FRN (bidder's FCC Registration Number)
- **Upfront Payment Amount**
- Total Withdrawal Payment Amount (not applicable in Auction 83)
- **Upfront Payment Balance Remaining**
- Total Winning Bid Net Amount
- Down Payment Amount (20% of Net Winning Bids)
- Additional Amount Due after Upfront Payment Balance Applied Due by July 16, 2018
- Final Payment Amount (80% of Net Winning Bids)
- Final Balance Due by July 30, 2018 or (Refund) (the Total Winning Bid Net Amount, minus the Upfront Payment Amount and minus any Additional Amount Due after Upfront Payment Balance Applied)<sup>2</sup>

A copy of this Public Notice will be sent to each qualified bidder via overnight delivery to the contact person at the contact address listed in each qualified bidder's short-form application (FCC Form 175).

#### III. POST-AUCTION PROCEDURES

#### A. **Down Payments**

- 5. The Commission's rules require that within 10 business days after the release of this Public Notice, in this case by 6:00 p.m. Eastern Time (ET) on July 16, 2018, each winning bidder in Auction 83 must have on deposit with the Commission enough funds to cover the down payment on its winning bid(s).<sup>3</sup> Specifically, each winning bidder's total amount on deposit must be 20 percent of the aggregate net amount of its winning bid(s). Each winning bidder whose upfront payment is not sufficient to meet this requirement must deposit additional funds to meet its required down payment.<sup>4</sup>
- The amount due from each winning bidder, if any, is set out in the "Additional Amount Due after Upfront Payment Balance Applied Due by July 16, 2018" column of Attachment B. Note that an additional payment toward the down payment due is necessary only if a winning bidder's upfront payment does not cover the required total down payment (as reflected in Attachment B).
- 7. Wire transfers for down payments must be received by the Commission by 6:00 p.m. ET on July 16, 2018. If a winning bidder fails to complete this down payment process, consistent with the payment method described in this Public Notice, by 6:00 p.m. ET on July 16, 2018, it will be in default.<sup>5</sup>

#### В. **Final Payments**

Each winning bidder in Auction 83 will be required to submit the balance of the net amount of its winning bid(s) as set out in the "Final Balance Due By July 30, 2018 or (Refund)" column

<sup>4</sup> *Id*.

<sup>&</sup>lt;sup>2</sup> For a non-winning bidder, this Final Balance amount, which is equal to its Upfront Payment Amount, is shown in parentheses and is available for refund. For a winning bidder, this amount may equal the Final Payment Amount. However, if the Upfront Payment Amount exceeds the Down Payment Amount, that excess will reduce the Final Balance.

<sup>&</sup>lt;sup>3</sup> 47 CFR § 1.2107(b).

<sup>&</sup>lt;sup>5</sup> See Section III.D., "Default and Disqualification Payments," below.

of Attachment B.<sup>6</sup> Each winning bidder must pay the full amount of the Final Balance Due shown in Attachment B by **6:00 p.m. ET on July 30, 2018** (or by 6:00 p.m. ET on August 13, 2018, along with the five percent late fee required by section 1.2109(a) of the Commission's rules).<sup>7</sup> Note that an additional payment toward the final payment due is necessary only if a winning bidder's upfront payment does not cover the required total down payment and final payment (as reflected in Attachment B).

- 9. Full and timely payment of winning bids and any applicable late fees must be made in accordance with section 1.2109(a) of the Commission's rules and must occur before action will be taken upon the winning bidder's long-form application (FCC Form 349). Specifically, construction permits will be granted only after the full and timely payment of winning bids and any applicable late fees, in accordance with section 1.2109(a).
- 10. Wire transfers of final payments must be received by the Commission by **6:00 p.m. ET** on July **30, 2018** (or by 6:00 p.m. ET on August 13, 2018, along with the five percent late fee required by section 1.2109(a) of the Commission's rules). If a winning bidder fails to complete submission of the final payment consistent with the payment method described in this Public Notice by 6:00 p.m. ET on July 30, 2018 (or by 6:00 p.m. ET on August 13, 2018, along with the five percent late fee), it will be in default. It

# C. Method of Payment for Down and Final Payments

- 11. All payments must be in U.S. dollars and made in the form of a wire transfer. No checks, credit card payments, automated clearing house (ACH), or other forms of payment will be accepted.
- 12. Each winning bidder is responsible for ensuring timely submission of its payment. Each winning bidder should coordinate with its financial institution well ahead of the due date regarding its wire transfer and should allow sufficient time for each wire transfer to be initiated and completed prior to the deadline. The Commission repeatedly has cautioned auction participants about the importance of planning ahead to prepare for unforeseen last-day difficulties in making payments by wire transfer. <sup>12</sup> A winning bidder should obtain confirmation from its financial institution that its wire transfer to the U.S. Treasury was successful. It should also confirm with Commission staff that its payment has been received by the Commission in the proper account by the specified deadline. Such confirmation may be obtained by contacting Gail Glasser of the Office of Managing Director's FCC Revenue & Receivables Operations Group/Auctions at (202) 418-0578, or alternatively, Theresa Meeks at (202) 418-2945. Office of Managing Director (OMD) staff may also be reached through the FCC Auctions Hotline at (888) 225-5322, option two; or (717) 338-2868.

8 *Id* 

<sup>9</sup> *Id*.

 $^{10}$  Id

<sup>&</sup>lt;sup>6</sup> 47 CFR § 1.2109(a).

<sup>&</sup>lt;sup>7</sup> *Id*.

<sup>&</sup>lt;sup>11</sup> See Section III.D., "Default and Disqualification Payments," below.

<sup>&</sup>lt;sup>12</sup> See, e.g., Letter to Lee G. Petro, counsel for Four Corners Broadcasting, LLC, from Margaret W. Wiener, Chief, Auctions and Spectrum Access Div., 25 FCC Rcd 9046 (WTB 2010); Letter to David G. O'Neil, counsel for Spectrum Acquisitions, Inc., from Margaret W. Wiener, Chief, Auctions and Spectrum Access Div., 23 FCC Rcd 4765 (WTB 2008); Letter to Patrick Shannon, counsel for Lynch 3G Communications Corp., from Margaret W. Wiener, Chief, Auctions and Industry Analysis Div., 18 FCC Rcd 11552 (WTB 2003).

13. Each payer must include specified information in its wire transfer instructions (OBI field) provided to its bank, as described below. In a change of practice from prior auctions, the Commission no longer requires the submission of a completed FCC Remittance Advice Form (Form 159) with down payments or final payments.<sup>13</sup> The Commission will credit any such payment based on the information accompanying the wire transfer. To submit funds by wire transfer, the winning bidder must include the following information in its wire transfer instructions:

ABA Routing Number: **021030004**Receiving Bank: **TREAS NYC**33 Liberty Street

New York, NY 10045

ACCOUNT NAME: FCC

445 12<sup>th</sup> Street, SW Washington, DC 20554

ACCOUNT NUMBER: 27000001

OBI Field: (Skip one space between each information item) "AUCTIONPAY"

APPLICANT FRN: (same as FCC Form 175)

PAYMENT TYPE CODE: ("D083")

PAYER NAME

### CONTACT NAME AND PHONE NUMBER OR EMAIL ADDRESS

14. With the copy of this Public Notice sent by overnight delivery, each winning bidder that must make a payment to meet its down and/or final payment obligations will be sent a partially completed Form 159, FCC Remittance Advice Form, for each required payment to confirm the amount to be remitted in the wire transfer information. This Form 159 is solely for your records. It is **not** necessary for you to fax or email a copy of Form 159 to the FCC or the U.S. Treasury. Please direct questions concerning the calculation and submission of down payments, final payments, or refunds to Gail Glasser at (202) 418-0578 or Theresa Meeks at (202) 418-2945. OMD staff may also be reached through the FCC Auctions Hotline at (888) 225-5322, option two; or (717) 338-2868.

### D. Default and Disqualification Payments

15. The Commission imposes payment obligations on bidders that, after an auction closes, default on payments due or are disqualified.<sup>14</sup>

### E. Bid Default/Disqualification Payments from Auction 83

16. If a winning bidder defaults or is disqualified after the close of bidding in the auction (i.e., fails to remit the required down payment by the specified deadline, fails to submit a timely long-form application, fails to make full payment of the balance of its winning bid(s) by the specified deadline, or is otherwise disqualified for any reason), then the defaulting bidder will be subject to the payment obligations described in section 1.2104(g)(2). These payment obligations include both a deficiency payment, equal to the difference between the amount of the defaulter's bid and the amount of the winning bid the next time a permit or license covering the same spectrum is won in an auction, plus an additional

<sup>&</sup>lt;sup>13</sup> Form 159 will continue to be used in future auctions for upfront payments only.

<sup>&</sup>lt;sup>14</sup> 47 CFR §§ 1.2104(g)(2), 1.2109.

<sup>&</sup>lt;sup>15</sup> *Id*.

payment equal to a percentage of the defaulter's bid or the subsequent winning bid, whichever is less. <sup>16</sup> The additional default payment for Auction 83 permits was set at 20 percent of the applicable bid. <sup>17</sup>

- 17. If a winning bidder fails to remit the required down payment by the down payment deadline specified in this Public Notice, in this case by July 16, 2018, then the bidder will be deemed to have defaulted, its long-form application will be dismissed, and it will be liable for a default payment as described above.<sup>18</sup>
- 18. If a winning bidder fails to pay the balance of its winning bid in a lump sum by the deadline specified in this Public Notice, then it will be allowed to make a late payment within 10 business days after the payment deadline, provided that it also pays a late fee equal to five percent of the amount due.<sup>19</sup> If a winning bidder fails to pay (a) the balance of its winning bid by the payment deadline on July 30, 2018, or (b) the balance of its winning bid plus the late fee by the late payment deadline on August 13, 2018, then it will be deemed to have defaulted, and it will be subject to applicable default payments.<sup>20</sup>
- 19. The Commission will review an applicant's long-form application only after receipt of full and timely payment of the winning bid amount and any applicable late fees. The relevant construction permit will be granted after determination that the long-form application meets the Commission's requirements for grant.<sup>21</sup>
- 20. A bidder that is found to have violated the antitrust laws or the Commission's rules in connection with its participation in the competitive bidding process may be subject, in addition to any other applicable sanctions, to forfeiture of its upfront payment, down payment, or full bid amount, and may be prohibited from participating in future auctions.<sup>22</sup>

# F. Refund of Excess Payments

- 21. All refunds of applicant upfront payment balances will be returned to the payer of record as identified on the upfront payment wire transfer, unless that payer submits written authorization instructing otherwise. To receive a refund, an applicant must submit a written refund request and provide wire transfer instructions. Each applicant can provide this information by using the FCC auction application system to file its refund information electronically using the **Refund Form** icon found on the *Auction Application Manager* page by **July 30, 2018**. After the required information is completed on the blank form, the form should be printed, signed, and submitted to the Commission by mail or fax as instructed below.
- 22. After July 30, 2018 or if you have elected not to access the Refund Form through the Auction Application Manager page, the Commission requests that all information listed below be supplied in writing.

<sup>&</sup>lt;sup>16</sup> Id. See also Auction of FM Translator Construction Permits Scheduled for June 21, 2018; Notice and Filing Requirements, Minimum Opening Bids, Upfront Payments and Other Procedures for Auction 83, Public Notice, 33 FCC Rcd 2292, 2325-26, paras. 131–33 (MB/WTB 2018) (Auction 83 Procedures Public Notice).

<sup>&</sup>lt;sup>17</sup> Auction 83 Procedures Public Notice, 33 FCC Rcd at 2325-26, paras. 131-33.

<sup>&</sup>lt;sup>18</sup> 47 CFR §§ 1.2104(g)(2), 1.2109(a), (c).

<sup>&</sup>lt;sup>19</sup> *Id.* §§ 1.2109(a), 73.5003.

<sup>&</sup>lt;sup>20</sup> *Id.* §§ 1.2104(g)(2), 1.2109(a), (c).

<sup>&</sup>lt;sup>21</sup> *Id.* §§ 1.2109(a), 73.5003, 73.5006(d).

<sup>&</sup>lt;sup>22</sup> *Id.* § 1.2109(d).

Name, address, contact and phone number of Bank **ABA** Number Account Number to Credit Name of Account Holder FCC Registration Number (FRN)

23. The refund request must be submitted by fax to the Revenue & Receivables Operations Group/Auctions at (202) 418-2843 or by mail to:

> Federal Communications Commission **Financial Operations** Revenue & Receivables Operations Group/Auctions Gail Glasser 445 12th Street, SW, Room 1-C864 Washington, DC 20554

- An applicant seeking a refund should verify that the Tax Identification Number (TIN) associated with its FRN is correct by visiting the FCC Registration Number website at https://apps.fcc.gov/coresWeb/publicHome.do to update its records before submitting a refund request. An FRN with no TIN on file cannot be processed until a TIN is provided.
- Any questions concerning refunds should be directed to Gail Glasser at (202) 418-0578 or Theresa Meeks at (202) 418-2945. OMD staff may also be reached through the FCC Auctions Hotline at (888) 225-5322, option two; or (717) 338-2868.

#### G. FCC Form 349

- 26. Prior to midnight ET on August 8, 2018, each winning bidder must submit electronically a properly completed long-form application (FCC Form 349, Application for Authority to Construct or Make Changes in an FM Translator or FM Booster Station), including all required exhibits, for each construction permit for which it was the winning bidder in Auction 83.<sup>23</sup> The FCC Form 349 filing window will open on July 9, 2018, and close at midnight ET on August 8, 2018.
- An applicant that fails to submit the required long-form application before the specified deadline and fails to establish good cause for any late-filed submission shall be deemed to have defaulted and shall be subject to the payments set forth in section 1.2104(g) of the Commission's rules.<sup>24</sup> Each Auction 83 winning bidder must file an accurate and complete long-form application (FCC Form 349) for each construction permit for which it was the winning bidder in Auction 83. The long-form application must be filed electronically through the Media Bureau's Consolidated Database System (CDBS) online electronic filing system.<sup>25</sup> In accordance with the Commission's rules, electronic filing of the FCC Form

Filing of FCC Forms 301-CA, 346, 349 and 350, Public Notice, 17 FCC Rcd 8575 (MB 2002).

<sup>&</sup>lt;sup>23</sup> See 47 CFR § 73.5005(a). The Commission delegated authority to the Wireless Telecommunications and Media Bureaus, on an auction-by-auction basis, to extend past thirty days the filing deadline for the submission of postauction long-form applications. See Policies to Promote Rural Radio Service and to Streamline Allotment and Assignment Procedures, First Report and Order and Further Notice of Proposed Rule Making, 25 FCC Rcd 1583, 1607-08, paras. 47-48 (2010).

<sup>&</sup>lt;sup>24</sup> See 47 CFR §§ 1.2104(g), 1.2107(c), 73.5005(c).

<sup>&</sup>lt;sup>25</sup> For information regarding electronic application filing, refer to *Media Bureau Implements Mandatory Electronic* 

349 must be accompanied by the appropriate application filing fee.<sup>26</sup> If information submitted on the new FCC Form 349 application by the winning bidder indicates that the applicant has effected a major change relative to information reported on the applicant's short-form application (FCC Form 175), then the long-form application will be dismissed and the applicant will be in default.<sup>27</sup>

- 28. For instructions and technical requirements for using the Media Bureau's electronic filing system, go to the electronic filing web site at <a href="https://licensing.fcc.gov/prod/cdbs/forms/prod/cdbs\_ef.htm">https://licensing.fcc.gov/prod/cdbs/forms/prod/cdbs\_ef.htm</a>. In the CDBS Electronic Filing System section of the page, click on the <a href="https://cdbs\_ef.htm">CDBS Electronic Filing User's</a> <a href="https://cdbs\_ef.htm">Guide</a> link. When filing FCC Form 349, an applicant must select "Long Form Application for Auction 83" on the pre-form and enter the relevant short-form application file number from CDBS (Question 2).<sup>28</sup> For assistance with electronic filing, call the CDBS Help Desk at (202) 418-2662.
- 29. Commission staff will return applications not submitted in accordance with the procedures described in this Public Notice. Applicants are encouraged to pay the FCC Form 349 application filing fee electronically using the CDBS filing system in order to avoid processing delays.

### H. Additional Post-Auction Filing Requirements

30. To file a complete FCC Form 349 application, each Auction 83 winning bidder must submit the post-auction exhibits required by section 73.5005(a) of the Commission's rules. Specifically, section 73.5005(a)<sup>29</sup> requires that post-auction long-form applications include the exhibits mandated by section 1.2107(d) (providing a detailed explanation of the terms, conditions, and parties involved in any bidding consortium, joint venture, partnership, or other agreement or arrangement the applicant had entered into relating to the competitive bidding process),<sup>30</sup> and section 1.2112(a) (requiring that each long-form application fully disclose ownership information and the real party or parties in interest in the applicant or application),<sup>31</sup> and section 1.2110(j) (requiring an applicant claiming bidding credit eligibility to describe how it satisfies the eligibility requirements for such bidding credit, and to list and summarize all agreements that affect such status, such as partnership agreements, shareholder agreements, management agreements, resale arrangements, and any other agreements, including oral agreements, that establish that the auction applicant will have both *de facto* and *de jure* control of the entity).<sup>32</sup> Specifically, an Auction 83 winning bidder applicant claiming new entrant bidding credit eligibility must

<sup>&</sup>lt;sup>26</sup> See Implementation of Section 309(j) of the Communications Act – Competitive Bidding for Commercial Broadcast and Instructional Television Fixed Service Licenses, First Report and Order, 13 FCC Rcd 15920, 15984-85, para. 164 (1998) (Broadcast First Report and Order). See also 47 CFR §§ 1.1104, 1.2107(c).

<sup>&</sup>lt;sup>27</sup> See 47 CFR §§ 73.3522(a)(3), 74.1233(d)(5)(iii). See also id. § 1.2109(c).

<sup>&</sup>lt;sup>28</sup> To obtain the short form application file number, go to CDBS Public Access (<a href="http://licensing.fcc.gov/prod/cdbs/pubacc/prod/cdbs">http://licensing.fcc.gov/prod/cdbs/pubacc/prod/cdbs</a> pa.htm) and select "Search for Application Information." In the Application Search page, in "File Number," enter "BNPFT" in the first box and "20030%" in the second box. Enter the appropriate "Community of License City" and "State." Then, click on "Submit Application Search" at the bottom of the page. This will provide all of the Auction 83 FM translator Forms 349 filed in 2003 for that community of license. In the few instances with multiple engineering proposals in the same community, an applicant can click on the "Info" hyperlinks in the results page to determine which engineering proposal pertains to which applicant.

<sup>&</sup>lt;sup>29</sup> 47 CFR § 73.5005(a). *See also* Instructions for Form 349, Application for Authority to Construct or Make Changes in an FM Translator, or FM Booster Station, Instructions for Section II: Legal Information, paragraph J. Item 11: Auction Authorization, for auction-related exhibit filing requirements.

<sup>&</sup>lt;sup>30</sup> 47 CFR § 1.2107(d).

<sup>&</sup>lt;sup>31</sup> *Id.* § 1.2112(a).

<sup>&</sup>lt;sup>32</sup> *Id.* § 1.2110(j). *See also id.* § 73.5007(a), (b).

provide information establishing its eligibility in its long-form application exhibit, <sup>33</sup> including a description of its other mass media facilities, if any. Generally, media interests will be attributable for purposes of the new entrant bidding credit to the same extent that such other media interests are considered attributable for purposes of the broadcast multiple ownership rules.<sup>34</sup>

31. The Commission's "red light rule" that implements the Debt Collection Improvement Act will be enforced.<sup>35</sup> Under the red light rule, the Commission will not process applications or other requests for benefits filed by parties that have outstanding debts owed to the Commission.<sup>36</sup>

# I. Maintaining Accuracy of Information

32. After an auction closes, all required changes to an applicant's information must be made by filing or amending the applicant's long-form application(s) (FCC Form 349). With the release of this Public Notice, applicants are no longer required to maintain the accuracy and completeness of information furnished on their short-form applications (FCC Form 175) and exhibits.<sup>37</sup>

# J. Application Processing, Petitions to Deny, and Construction Permit Grant

- 33. After its initial review of the long-form applications, the Media Bureau will issue CDBS-generated public notices listing the applications as they are accepted for filing. A public notice entitled "Broadcast Applications" will list such applications as "Accepted for Filing." Pursuant to sections 1.2108(b) and 73.5006(b) of the Commission's rules, <sup>38</sup> interested parties will have 15 days from release of that public notice to file petitions to deny the application. <sup>39</sup> An applicant may file an opposition to any petition to deny within 15 days after the filing deadline for petitions to deny its long-form application. <sup>40</sup> Replies must be filed within 10 days after the filing deadline for oppositions to a petition to deny a long-form application. <sup>41</sup> The Commission reminds all parties and their counsel that the Commission intends to use its authority fully to deter the filing of frivolous pleadings. <sup>42</sup>
- 34. After the long-form application review process, if the Commission determines that additional payment from an applicant is due, the Media Bureau will provide further instructions in a

<sup>&</sup>lt;sup>33</sup> *Id.* §§ 73.3555 & Note 2, 73.5007, 73.5008. *See also id.* § 1.2110(j).

<sup>&</sup>lt;sup>34</sup> *Id.* § 73.3555 & Note 2. *See id.* § 73.5008(c). The relaxed equity/debt plus attribution standard for "eligible entities" as the basis for the new entrant bidding credit was available in Auction 83. An "eligible entity" is defined in 47 CFR § 73.3555, Note 2(i). *See 2014 Quadrennial Regulatory Review – Review of the Commission's Broadcast Ownership Rules and Other Rules Adopted Pursuant to Section 202 of the Telecommunications Act of 1996, Second Report and Order, 31 FCC Rcd 9864, 9982-84, paras. 285-86 & n.858 (2016) (re-adopting the eligible entity standard for the media attribution rules and New Entrant Bidding Credit after court remand). <i>See also Prometheus Radio Project v. FCC*, 652 F.3d 431 (3d Cir. 2011).

<sup>&</sup>lt;sup>35</sup> 47 CFR § 1.1910.

<sup>&</sup>lt;sup>36</sup> *Id.*; see also id. § 1.2109(c) (post-auction default or disqualification).

<sup>&</sup>lt;sup>37</sup> See id. § 1.65.

<sup>&</sup>lt;sup>38</sup> *Id.* §§ 1.2108(b), 73.5006(b).

<sup>&</sup>lt;sup>39</sup> *Id.* § 73.5006.

<sup>&</sup>lt;sup>40</sup> *Id.* § 73.5006(c).

<sup>&</sup>lt;sup>41</sup> *Id*.

<sup>&</sup>lt;sup>42</sup> See Commission Taking Tough Measures Against Frivolous Pleadings, Public Notice, 11 FCC Rcd 3030 (1996).

future public notice or by demand letter. <sup>43</sup> If the Commission determines that an applicant is otherwise qualified, that there are no substantial and material issues of fact regarding the applicant's qualifications to be a Commission licensee, and that no additional payments are due, the Commission will grant the construction permit. <sup>44</sup>

#### IV. OTHER IMPORTANT INFORMATION

# A. Maintaining Prohibition on Certain Communications

- 35. Section 1.2105(c)(1) of the Commission's rules provides that, subject to specified exceptions, after the deadline for filing a short-form application, "all applicants are prohibited from cooperating or collaborating with respect to, communicating with or disclosing, to each other . . . in any manner the substance of their own, or each other's, or any other applicants' bids or bidding strategies (including post-auction market structure), or discussing or negotiating settlement agreements, until after the down payment deadline[.]"<sup>45</sup> As explained more fully in the *Auction 83 Procedures Public Notice*, this prohibition began at the short-form application filing deadline at 6:00 p.m. ET on March 17, 2003, and continues until the down payment deadline, which is **6:00 p.m. ET on July 16, 2018**.
- 36. The prohibition on certain communications applies to all applicants in Auction 83, regardless of whether an applicant became a qualified bidder or actually participated in the bidding.<sup>47</sup> Each applicant in this auction certified its compliance with section 1.2105(c) when it filed its short-form application.<sup>48</sup>

### B. Return of SecurID® Tokens

37. The SecurID® tokens distributed to qualified bidders are tailored to this auction and will not work for future auctions. The Commission will send each qualified bidder, along with a copy of this Public Notice, a pre-addressed, stamped envelope to return its SecurID® tokens. Each qualified bidder should return all of its SecurID® tokens to the Commission for recycling by **July 16, 2018**.

<sup>&</sup>lt;sup>43</sup> For example, Commission staff may determine that a winning bidder is not entitled to the level of bidding credit that it has claimed and may require an additional payment to cover the amount of any percentage discount for which it was not eligible. *See* 47 CFR § 73.5007(a).

<sup>&</sup>lt;sup>44</sup> See 47 CFR §§ 1.2108(d), 1.2109(a). See also id. § 73.5006(d). Although we expect applicants to provide complete and accurate information in all filings with the Commission, under our liberal amendment policy we will permit winning bidders to amend their long-form applications—including, as necessary, amendments to resolve site availability issues. See Broadcast First Report and Order, 13 FCC Rcd at 15986-89, paras. 167-76; Mark Lipp, Esq., Letter Decision, 27 FCC Rcd 15190, 15193 (MB 2012); David Oxenford, Esq., Letter Decision, 28 FCC Rcd 6269 (MB 2013).

<sup>&</sup>lt;sup>45</sup> 47 CFR § 1.2105(c)(1). *See also Updating Part 1 Competitive Bidding Rules*, Report and Order, Order on Reconsideration of the First Report and Order, Third Order on Reconsideration of the Second Report and Order, Third Report and Order, 30 FCC Rcd 7493 (2015)(modified by Erratum, 30 FCC Rcd 8518 (WTB 2015)).

<sup>&</sup>lt;sup>46</sup> See Auction 83 Procedures Public Notice, 33 FCC Rcd at 2300-04, paras. 12-23.

<sup>&</sup>lt;sup>47</sup> See id., 33 FCC Rcd at 2300-01, paras. 12-15. Note, however, that the Bureaus previously waived section 1.2105(c) of the Commission's rules with respect to communications between two jointly-owned Auction 99 applicants. See Letter to John C. Trent, counsel to J.J. & B Broadcasting, Inc. and Macon Media, Inc., from Albert Shuldiner, Chief, Audio Div., and Margaret W. Wiener, Chief, Auctions and Spectrum Access Div., DA 18-447 (MB/WTB May 1, 2018).

<sup>&</sup>lt;sup>48</sup> See 47 CFR § 1.2105(a)(2)(ix).

#### C. **Contact Information**

#### 38. For further information, contact:

#### **News Media**

# **Wireless Telecommunications Bureau**

Cecilia Sulhoff at (202) 418-0587

### Media Bureau

Janice Wise at (202) 418-8165

#### **Licensing Information** Media Bureau, Audio Division

Service Rules, Policies, Regulations Licensing Issues, Engineering Issues Due Diligence, Incumbency Issues, FCC Form 349 questions

# James Bradshaw (Engineer) or

Lisa Scanlan (Attorney) or Tom Nessinger (Attorney), at (202) 418-2700

# **Auction Payment Information**

Wire Transfers Refunds

# FCC Revenue & Receivables Operations

**Group/Auctions** 

Gail Glasser at (202) 418-0578, or Theresa Meeks at (202) 418-2945, or (202) 418-2843 (fax)

# FCC Auctions Hotline at (888) 225-5322, option

two; or (717) 338-2868

#### **Auction 83 Information**

General Auction Information, Process and Procedures Auction Rules, Policies, and Regulations

# **Auctions and Spectrum Access Division**

Auctions Hotline, at (717) 338-2868 Lynne Milne, Attorney, at (202) 418-0660

## **Accessible Formats**

Braille, large print, electronic files, or audio format for people with disabilities

# Consumer and Governmental Affairs Bureau

(202) 418-0530, or (202) 418-0432 (TTY) fcc504@fcc.gov

**FCC Internet Sites** 

www.fcc.gov/auction/83

www.fcc.gov/mb

http://licensing.fcc.gov/prod/cdbs/pubacc/prod/cdbs

pa.htm

https://auctiondata.fcc.gov

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